



**Region 6 Meeting**  
**September 26-28, 2012**  
**LGFCU Conference Center, Raleigh, North Carolina**

**Meeting Cost: \$199**

Wednesday registration 8:30-9:00 with continental breakfast; Wednesday and Thursday sessions from 9:00-4:30; Friday 9:00-11:30; Lunches each day and Wednesday dinner included. For registration information, go to [www.ACUIA.org](http://www.ACUIA.org).

**Who Should Attend?**

Internal Auditors, Supervisory/Audit Committee Members, Board Members, Compliance Officers, Risk Managers

**Sessions:**

**Keynote**

***Jim Blaine, CEO, State Employees' Credit Union***

**Lending Compliance**

***Harvey Johnson, Witt Mares***

*This session will focus on some emerging issues in lending compliance including recent developments in ALL, troubled debt restructurings, member business lending and loan participations. We will also cover a brief overview of key regulatory and industry changes, as well as a review of best practices in internal controls and auditing procedures for these key areas.*

**Financial Fraud: Auditing from the Trenches**

***Dennis Duffy, Assistant U.S. Attorney, Department of Justice***

*This session will share real life cases of financial fraud and the importance the financial records and Audit played in helping prosecutors to make the case.*

**Redefining Audit's Role as a Stakeholder**

***Mike Somich, Director of Audits, Duke University***

*To become an integral part of the management team and remain effective, Internal Audit must change its focus as the risks in the organization change. In this session, we will explore how Duke experienced change, how Audit was able to add value and become an important stakeholder in the Duke family.*



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**ERM: It's All About Strategy, Not Compliance**

***Bruce Branson, Professor of Accounting and Associate Director of the Enterprise Risk Management (ERM) Initiative at NC State University***

*While individuals who serve on boards or in senior executive roles have likely heard of the term "enterprise risk management or ERM", many are left confused about how it differs from the multitude of risk management activities in place at most organizations. As a result, many view ERM as another compliance or bureaucratic initiative that is likely to fade over time. This session will highlight how an effective ERM process can be one of the organization's most important strategic tools. If implemented correctly, ERM helps senior executives and boards stay focused on those risks most likely to impact the organization's business model and new strategic initiatives. The session will emphasize the importance of integrating risk oversight and strategic planning.*

**"The Corporate Credit Union Crisis and Aftermath: Evolution of an Industry"**

***David Brehmer, CEO, First Carolina Corporate Credit Union***

*Since they were created in the mid-1970s, corporate credit unions have played a key role within the credit union industry. Over the last 5 years, the corporate credit union system has faced some severe challenges which has dramatically changed the face of the corporate network. This session will provide an overview of events leading to the crisis, its resolution, and the changes to the system as well as providing a snapshot of the Corporate Credit Union industry today.*

**FFIEC Internet Banking Guidance**

***Matt Thompson, Grant Thornton***

*The Federal Financial Institutions Examination Council (FFIEC), representing all of the regulatory bodies for financial institutions issued supplemental guidance on IT controls for internet banking in June 2011 intended to reinforce the risk-management framework described in the original guidance and update the FFIEC member agencies' supervisory expectations regarding customer authentication, layered security, and other controls in the increasingly hostile online environment." How is your credit union complying with the new internet banking requirements? Are you seeking additional guidance to comply with this complex new requirement? Join Matt Thompson of Grant Thornton who will explain the guidance and will provide practical recommendations / best practices for complying with the new requirements in this session.*



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**Bankruptcy, Collections, Foreclosure: What a Lender Should Know.**

**Frank Drake, Smith Debnam Narron Drake Saintsing & Myers, LLP**

*This session will explore lender and borrower rights when it comes to collecting a past due debt with an emphasis on foreclosures. It will also examine the requirements now imposed on mortgage lenders subjected to Ch. 13 bankruptcies file specifically required Attachment A and Supplements 1 and 2 to ALL loans secured by members residences. Lenders have too often proven noncompliant to date with those requirements which became effective last December nationwide. Too many lenders confuse mortgage loan rewrites with loan amendments. The distinctions can spell the difference between an enforceable secured loan and an inadvertently "de-secured" loan. This segment will explore and explain those distinctions.*

**Standards and Governance**

**Jack Greenberg, CliftonLarsonAllen, LLP**

*The International Professional Practices Framework (IPPF) of The Institute of Internal Auditors provides guidance to Internal Auditors on professional standards. In addition, one of the key elements of this guidance is how internal auditors should approach the evaluation of corporate governance, including the ethical standards of the organization. This session will address the latest updates to the guidance and will discuss the internal auditor's role in the governance process.*

**NCUA Hot Topics**

**NCUA Examiner, NCUA**

*This session will offer an opportunity to hear what NCUA examiners are focusing on this year and allow participants to ask specific questions.*

**Site and Hotel Information:**

**LGFCU Conference Center**

323 West Jones Street  
Raleigh, North Carolina 27603  
(800) 385-70140534  
(CU Location)

RDU International Airport is 15 miles from the Hyatt Place and 13 miles from the Conference Center.

**Hyatt Place Raleigh North**

1105 Navaho Drive  
Raleigh, North Carolina 27609  
(919) 877-9997  
\$73.00 (single or double occupancy)

Free shuttle provided from Hyatt Place to Conference Center (morning and afternoon)

A block of rooms is being held until August 28th. The code is "STAT". Guests can use this code either online (in the "corporate/group #" field) or via telephone to make reservations.

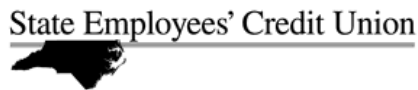
<http://raleighnorth.place.hyatt.com/groupbooking/rduzwstat2012>  
Free shuttle provided from Hyatt Place to Conference Center (morning and afternoon)



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Join us for a North Carolina BBQ dinner  
immediately following the Wednesday sessions.

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**CPE Credits Available: 15.0**

### Questions or need more information?

Contact the Region 6 Director, **Bobby Nichols**.

Email: [Bobby.Nichols@ncsecu.org](mailto:Bobby.Nichols@ncsecu.org) or phone: (800) 385-7014 or (919) 839-5125