



**Region 4 Meeting**  
**April 25-26, 2013**  
**First Community Credit Union, Chesterfield, MO**

**Meeting Cost: \$149**

Includes continental breakfast, breaks and lunch on Thursday and Friday. Meeting starts at 8am and goes to 4:15 pm each day.

For registration information, go to: [Region 4 Registration](#)

**Who Should Attend?**

Internal Auditors, Supervisory/Audit Committee Members, Board Members, Compliance Officers, Risk Managers

**Sessions:**

**Compliance Hot Topics**

**John J. Thomas, VP Compliance & Advocacy, Missouri Credit Union Association**

*This session will cover an overview of the new Remittance Transfer rules effective February 2013. Other compliance issues regarding Reg E, BSA changes, reporting dividends of non-US residents, and flood insurance will be discussed.*

**Data Analysis / Continuous Monitoring**

**Janet Beckmann, Principal, Data Analysis Services Brown Smith Wallace, LLC**

*This session will discuss ideas for where to apply data analysis in your audits, how you to use data analysis to add value to your audits and how to determine if continuous auditing / monitoring is right for your organization.*

**Enterprise Risk Management**

**Amy Ribick / Bianca Sarrach, Risk Advisory Services, Brown Smith Wallace, LLC**

*This session will discuss the importance and increased need for an Enterprise Risk Management program, including the steps to develop and implement one, tools to assist in understanding and managing critical risks and uncertainties, and how credit unions can execute the proper strategies to achieve their performance goals.*

**Emerging Trends and CU Audit Programs – What Does Your Examiner Like to See?**

**William E. Shirley, Supervisory Examiner, NCUA**

*This session will discuss the current and emerging risks facing credit unions, including credit risks, interest rate risks, and concentration risks.*

**Risks and Trends in IT Security**

**Randall J. Romes, Principal, CliftonLarsonAllen, LLP**

*You will learn: Fundamental principles of sound information security risk management and attacker motivations.*



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**Social Engineering and the updated FFIEC Authentication Guidance**

**Randall J. Romes, Principal, CliftonLarsonAllen, LLP**

*We will examine actual case studies of fraud events including Phishing, ACH Fraud, and HELOC fraud phone calls.*

**Credit Unions: Real Estate Lending and Mortgage Banking Activities**

**Robert M. Parks, Director of Audit and Consulting Services, Doeren Mayhew**

*This session will provide an overview of mortgage banking activities from a credit union perspective*

**Regulatory Orders**

**Patrick McCollough, Director of Internal Audit, Arkansas FCU**

*This session is designed to allow attendees to get a better understanding of the regulatory orders and the process to get out from under the orders as well as the efforts auditors can take to stay out of an order.*

**Site and Hotel Information:**

**First Community Credit Union**  
17151 Chesterfield Airport Road  
Chesterfield, MO 63005

**Homewood Suites St. Louis/Chesterfield (preferred hotel)**  
840 Chesterfield Pkwy W  
Chesterfield, MO 63017  
(636) 530-0305  
Rate: \$94  
Group rate - must mention ACUIA  
Distance: 2.8 miles (7 minutes) to  
First Community CU

**Hilton Garden Inn St. Louis / Chesterfield**  
16631 Chesterfield Grove Road  
Chesterfield, MO 63005  
(636) 532-9400  
Rate: \$149  
Distance: 1 mile (3 minutes) to First  
Community CU

**Thank you to the following sponsors:**



**Questions or need more information?**

Contact the Region 4 Director, **James Wright**.

Email: [jwright@abecu.org](mailto:jwright@abecu.org) or Phone: (314) 657-9220