



**Region 4 Meeting
August 30-31, 2012
First Community Credit Union, Chesterfield, MO**

Meeting Cost: \$149

Two full days of training (8:00-4:15pm). Includes breakfast, lunch, and breaks both Thurs-Fri. For registration information, go to www.ACUIA.org.

Who Should Attend?

Internal Auditors, Supervisory/Audit Committee Members, Board Members, Compliance Officers, Risk Managers

Sessions:

Compliance Hot Topics

John J. Thomas, Vice President Compliance & Advocacy, Missouri Credit Union Association

This session will cover an overview of the new Remittance Transfer rules effective February 2013. Other compliance issues regarding Reg E, BSA changes, reporting dividends of non-US residents, and flood insurance will be discussed.

Credit Unions: Real Estate Lending and Mortgage Banking Activities

Robert M. Parks, Director of Audit and Consulting Services, Doeren Mayhew

This session will provide an overview of mortgage banking activities from a credit union perspective.

Insider Threats

Theresa Stolzer, Risk Management Consultant, CUNA Mutual Group

This session will cover loss case studies and important loss controls.

Emerging Trends and CU Audit Programs – What Does Your Examiner Like to See?

William E. Shirley, Supervisory Examiner, NCUA

This session will discuss the current and emerging risks facing credit unions, including credit risks, interest rate risks, and concentration risks.

Risks and Trends in IT Security

Randall J. Romes, Principal, CliftonLarsonAllen, LLP

You will learn: Fundamental principles of sound information security risk management and attacker motivations.

Social Engineering and the updated FFIEC Authentication Guidance

Randall J. Romes, Principal, CliftonLarsonAllen, LLP

We will examine actual case studies of fraud events including Phishing, ACH Fraud, and HELOC fraud phone calls.

TBD

Marie McGillivray, Senior Manager, Protiviti



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Continuous Auditing

Matt Sanchez, Protiviti

What does Continuous Auditing really mean and how does it look when applied to an organization? This session will provide an interactive learning experience around Continuous Auditing including a review of the basic principles, emerging trends and electronic monitoring tools available to assist in Continuous Auditing.

Site and Hotel Information:

First Community Credit Union
17151 Chesterfield Airport Road
Chesterfield, MO 63005
(CU Location)

Homewood Suites St. Louis/Chesterfield
840 Chesterfield Pkwy W
Chesterfield, Missouri 63017
(866) 539-0036
approx \$139/night
Distance: 2.8 miles (7 minutes) to
First Community CU; 19.6 miles (27
minutes) to St. Louis Int'l Airport.

Hilton Garden Inn St. Louis / Chesterfield
16631 Chesterfield Grove Road
Chesterfield, Missouri 63005
(636) 532-9400
approx. \$149/night
Distance: 1 mile (3 minutes) to First
Community CU; 20 miles (27 minutes)
to St. Louis Int'l Airport.

DoubleTree by Hilton Hotel & Conference Center St. Louis
16625 Swingley Ridge Road
Chesterfield, Missouri 63017
(636) 532-9400
approx. \$149/night
2.3 mi from First Community CU (5 min Drive);
20 mi from St. Louis Int'l Airport (30 min. drive)

Thank you to the following sponsors:



Questions or need more information?

Contact the Region 4 Director, **Claudia Rodriguez**.

Email: claudia.rodriguez@gecu-ep.org or phone: (915) 774-8223