

Session #1 – Region 3 Risk & Audit Roundtable

Possible topics of conversation include:

- Exam Experience
- Audit Issue Management – Reporting, Risk Acceptance, Closure Validation
- ERM Program – Risk Monitoring, Reporting, etc.
- Compliance Concerns
- Model Validation Program
- Complaint Management
- Audit Committee Reporting
- IT Risk Assessments
- Internal Audit Talent Recruitment
- Vendor Due Diligence
- CECL – Methodologies, Model Validation, Auditing