



Association of Credit Union Internal Auditors

# 23<sup>rd</sup> Annual Conference & One-Day Seminar June 25-28, 2013

San Francisco, California

*Featuring Esteemed Keynote Speakers:*

*SPECIAL SUPERVISORY COMMITTEE  
TRACK OFFERED AGAIN THIS YEAR*

*CPE CREDITS  
AVAILABLE FOR  
ALL SESSIONS*



**Debbie Matz**  
Chairman, NCUA



**Francois Henriquez**  
Shutts & Bowen



**Dan Berger**  
NAFCU



**Mary Martha Fortney**  
President & CEO, NASCUS



**Robert Fenner**  
Former Gen'l Counsel, NCUA

*Follow us on Twitter  
@theACUIA to learn more  
exciting details about the  
conference #ACUIA2013!*

*"No city invites the heart to come to life as San Francisco does. Arrival in San Francisco is an experience in living."* - Writer William Saroyan

ACUIA is heading west this year for our 23<sup>rd</sup> Annual Conference. Join us for four days filled with educational sessions and entertainment in one of the most beautiful and enchanting cities in the country.

## ACUIA'S ONE-DAY SEMINAR JUNE 25, 2013

Acclaimed as one of the best teaching tools in the credit union system, the ACUIA One-Day Seminar is an outstanding way for both new and seasoned auditors to learn about the latest auditing issues. As a conference attendee you can choose to attend the One-Day Seminar, the Annual Conference, or both. There is a separate fee for each.

### Three Sessions Available Choose based on your interest

**AUDIT REPORT WRITING** - A one day course on optimizing the effectiveness of your audit reports

Audit Reports can significantly lose their effect if not written professionally. Writing an audit report is a fine art and, once perfected, can take on more importance than the audit itself. In this one-day seminar, attendees will learn about each component of an audit report in depth and how to set the correct tense and clarity to gain the most effectiveness.

**DEMISTIFYING IT AUDITING** - How to plan and execute meaningful IT audits

Credit unions are facing increasingly hostile security challenges including member account takeovers, distributed denial of service attacks, and even state-sponsored hacking. Credit unions also face increasing regulatory scrutiny of IT controls and risk management practices. At every turn, examiners recommend yet another risk assessment. IT exams can feel like a moving target. More than ever, CUs need to know their risks and manage them intentionally. This one-day seminar will demystify IT audit planning and execution and will equip auditors with skills to oversee an effective IT audit program.

**FINANCIAL INSTRUMENT IMPAIRMENT STANDARDS:**  
- What might FASB do?

Credit losses continue to be a critical issue for credit unions. Is it time to move from an 'incurred-loss' to a more forward-looking 'expected-loss' model? In this one-day seminar, attendees will learn about the latest credit impairment model research and a new proposed rule that could significantly change loss reserve requirements.

## ANNUAL CONFERENCE JUNE 26-28, 2013

The Annual Conference is the most comprehensive and beneficial professional development opportunity offered by the ACUIA. Featuring speakers presenting timely and in-depth topics pertinent to the profession, the conference is the ideal opportunity to network with your peers.

Designed for professionals who want to keep pace with the diverse and dynamic field of internal auditing, the annual conference agenda is created specifically for you; whether you are a member of your credit union's internal audit department or the Supervisory/Audit Committee.

Gain timely, insightful and indispensable information from the roster of nationally recognized, keynote, general and breakout session speakers who are experts in their field.

With an average attendee rating of 4.22 out of 5 from this past year's sessions, the ACUIA Annual Conference has cutting edge topics that will put any audit professional and Supervisory Committee member at the top of their game.

Come join us in San Francisco for four very valuable days of education, exploration, and interaction with your peers.

### TIMELY, RELEVANT BREAKOUT SESSIONS

There will be a wealth of learning in our breakout sessions for auditors, risk managers and CFOs. In addition, **this year we are continuing our track specifically for Supervisory Committee members.**

#### Audit / Accounting

- ◆ Vendor Management Best Practices
- ◆ CAE Roundtable
- ◆ Participation Loans
- ◆ IA Role in Member Complaints
- ◆ Using Excel for Advanced Analytics
- ◆ ALLL Best Practices
- ◆ Audit Basics - Building an IA Function From the Ground Up
- ◆ Revised Credit Impairment Model and Impact to ALLL
- ◆ Innovation in Auditing - Unleash Your Inner da Vinci

#### Compliance/Risk

- ◆ Indirect Lending / Leasing Risks
- ◆ Auditing ERM
- ◆ Social Media Risks
- ◆ Innovation & Strategy - Evaluating the Risks

#### IT Audit

- ◆ Mobile Banking Risks & Rewards
- ◆ IT Audits for Non-IT Auditors

#### Supervisory / Audit Committee Track

- ◆ Managing the Internal Audit Function
- ◆ Common Audit Findings
- ◆ Understanding Financial Statements
- ◆ Your Role in the Information Security Program
- ◆ Employee Dishonesty - Questions SC Should Ask

## OPTIONAL EVENTS (Click icons for more info)

Come tour the home of the World Champion SF Giants, one of baseball's most hallowed franchises.



The breathtaking bay views are just the beginning of sites to see at this architectural landmark. On your private, behind-the-scenes tour, you'll get a player's-eye-view of the dugout, Visitors' clubhouse, and even the field, during the 1 ½ hr. tour.



*1 crawl, 3 restaurants, infinite fun!*

*Join us for a walking social food tour of San Francisco. As a 'Grub Crawler' you'll visit 3 top*

*restaurants and taste specially selected dishes each restaurant wants to showcase. This unique dining experience lets you indulge in the food, restaurants, and culture of San Francisco neighborhoods.*

*On this relaxed 2-hour cruise, you'll enjoy spectacular sunset views, live guitar music and hearty appetizers from the on-board buffet. Come as you are as this sunset cruise of San Francisco is a casual, relaxed affair. Along the way, share drinks with friends while taking in the incredible views.*



*Note: Optional events require an additional fee; which is not included in conference registration.*

## TRANSPORTATION

**Please note, the hotel does not provide airport shuttle service.** Super-Shuttle service is available for \$34 round-trip or \$17 one-way. A cab ride from San Francisco Airport takes about 25 minutes and costs \$45 each way.

## HOTEL & RESERVATIONS

This year's conference is at the beautiful Grand Hyatt Hotel on Union Square in downtown San Francisco. The special ACUIA Conference rate of \$225 per night is available until May 26th, but is valid for rooms from June 20<sup>th</sup> thru July 2<sup>nd</sup> for those interested in extending their trip. To make your reservations go to:



*The special ACUIA Conference rate*

<https://resweb.passkey.com/go/ACUIA2013>

## WIN AN iPad MINI®!



*Register online or send in your paid registration postmarked by April 30, 2013 to be entered to win a new iPad Mini®. The latest cool technology from Apple can be yours by registering now!*

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## KEYNOTE SPEAKERS

This year's esteemed, keynote speakers are from a cross-section of the credit union industry. They will offer insight and understanding to help you create greater value, clarity, and focus in your audit efforts for the coming year.

### DAN BERGER – NAFCU

Mr. Berger serves as NAFCU's chief advocate before Congress, the White House and the federal regulatory agencies; and he manages the association's legislative, political, regulatory, compliance and research divisions. Dan brings over 20 years of government relations and political affairs experience to his post. Prior to NAFCU, he served as Vice President of Government Relations for America's Community Bankers and was formerly the Chief of Staff for U.S. Rep. Katherine Harris on Capitol Hill.

### ROBERT FENNER – Former General Counsel, NCUA

Mr. Fenner served as General Counsel for the NCUA from 1985–2011. As chief legal advisor to the NCUA board he was responsible for rulemaking, litigation and enforcement programs. During his tenure, he established new standards for financial literacy and fiduciary duty for CU officials and initiated the multi-billion dollar lawsuits against investment banks for their role in the corporate CU crisis.

### MARY MARTHA FORTNEY – President & CEO, NASCUS

Mrs. Fortney is a nationally recognized leader in the credit union industry and a highly respected advocate for the state credit union system. As an 18-year veteran of NASCUS, she has been a pivotal figure in the association's growth and development.

Before joining NASCUS, she worked for nearly four years as the Director of Agency Liaison, Office of Presidential Correspondence for the Carter White House. Fortney also spent 12 years on Capitol Hill, as the Legislative Director for a member of Congress.

### FRANCOIS HENRIQUEZ – Shutts & Bowen

Mr. Henriquez is currently Of Counsel for the law firm Shutts & Bowen in the Miami office, where he is a member of the Financial Services Industry Practice Group.

Mr. Henriquez most recently served as the President and CEO of U.S. Central FCU, the nation's primary institutional credit union exclusively serving retail credit unions. He operated the institution in conservatorship on behalf of the federal government.

He is a past board secretary of the National Credit Union Foundation. Francois received his J.D. from Columbia University Law School and his B.A. from Yale University.

### THE HONORABLE DEBBIE MATZ – Chairman, NCUA

Mrs. Matz was nominated by President Barack Obama to serve as the eighth board chair of the National Credit Union Administration (NCUA). After confirmation by the U.S. Senate on August 7, 2009, she was sworn in on August 24, 2009. As Chair of the NCUA Board, Mrs. Matz is the spokesperson for the agency and oversees the regulation of federal credit unions and the administration of the federal insurance fund, covering approximately 7,000 credit unions with over \$1 trillion in assets. In addition to chairing the NCUA Board, Mrs. Matz serves as Chair of the Federal Financial Institutions Examination Council (FFIEC).

# REGISTRATION FORM

Name \_\_\_\_\_ Name for Badge \_\_\_\_\_

Credit Union / Organization \_\_\_\_\_ Asset Size \_\_\_\_\_

Mailing Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

\_\_\_\_\_ ( \_\_\_\_\_ ) \_\_\_\_\_

Email Address \_\_\_\_\_ Phone \_\_\_\_\_

Please select the One-Day Seminar session you will be attending:

**ACUIA V-Neck**  Men  S  M  L  XL  XXL  
**Sweater Size:**  Women  S  M  L  XL

**One Day Seminar:** (choose one)  
 Tues. June 25<sup>th</sup>  **Audit Report Writing** - A one day course on optimizing the effectiveness of your audit reports  
 **Demystifying IT Auditing** - How to plan and execute meaningful IT audits  
 **Financial Instrument Impairment Standards:** - What might FASB do?

**Annual Conference:**  
 June 26<sup>th</sup> - 28<sup>th</sup>  23<sup>rd</sup> Annual Conference

**Note: The conference will conclude by 11:15am on Friday.**

**Optional Events:** (choose one)  
 Wed. June 26<sup>th</sup>  *San Francisco Grub Crawl*  
 *Bay Cruise - Sunset Cruise on SF Bay*  
 *Behind the Scenes Tour of AT&T Ballpark*

These events are optional with a separate fee noted below.

## REGISTRATION SUMMARY

### Registration Fees

		REGULAR	EARLY BIRD (BEFORE APRIL 30)	
<input type="checkbox"/> <b>One-Day Seminar Registrations</b> June 25, 2013:	Members* @	\$299 each	\$249 each	\$ _____
	Non-Members @	\$499 each	\$449 each	\$ _____
<input type="checkbox"/> <b>Annual Conference Registrations</b> June 26-28, 2013	Members* @	\$899 each	\$799 each	\$ _____
	Non-Members @	\$1099 each	\$999 each	\$ _____

**Includes City by the Bay Welcome Reception**

### Optional Events

<input type="checkbox"/> <i>San Francisco Grub Crawl @</i>		\$68.00/each	\$ _____
<input type="checkbox"/> <i>Bay Cruise - Sunset cruise on SF Bay @</i>		\$65.00/each	\$ _____
<input type="checkbox"/> <i>Behind the Scenes Tour of AT&amp;T Ballpark @</i>		\$40.00/each	\$ _____

Visa  Master Card

**TOTAL** \$ \_\_\_\_\_

Credit Card Number: \_\_\_\_\_ Exp. Date \_\_\_\_\_ CSV Code \_\_\_\_\_

Name as it appears on card \_\_\_\_\_ Signature \_\_\_\_\_ Billing Zip-Code \_\_\_\_\_

**Three Ways to Register For the Conference:**



Fax: (703) 348-7602



Mail: **ACUIA Conference Department**  
**P.O. Box 150908**  
**Alexandria, VA 22315**



Online: <https://www.acuia.org/civcrm/event/register?reset=1&id=11>

**Notes:** \* All CUIAA Members in good standing qualify for the ACUIA member rate for the One-Day Seminar and Conference. Included in the One-Day registration fee are breakfast, lunch and breaks. Included in the Annual Conference fee are breakfast and breaks each day and lunch on Wednesday and Thursday and the *City by the Bay* welcome reception Tuesday evening. For more information regarding admin. policies such as refunds, cancellations and complaints please contact the ACUIA at 703.688.2284