

This year's agenda once again provides a full schedule of general and breakout sessions from nationally recognized presenters who represent both the regulators and industry with fresh perspectives and insight.

CONFERENCE AGENDA OVERVIEW

Tuesday June 25th

8am - 5pm

6 - 7:30pm

One Day Seminars

Welcome Reception

Wednesday June 26th

7 - 8am

8 - 11am

11am - 12:15pm

12:15 - 1:30pm

Breakfast/Exhibits

General Sessions

Breakout Sessions

Lunch /

Annual Meeting

Breakout Sessions

Optional Events

1:30 - 5pm

6 - 9pm

Thursday June 27th

7 - 8am

8am - 12pm

12 - 1pm

1 - 4:30pm

5pm - til...

Breakfast/Exhibits

General Sessions

Lunch / Exhibits

Breakout Sessions

Night on the Town

Friday June 28th

7:15 - 8:15am

8:15 - 11:15am

11:30am

Breakfast/Exhibits

General Sessions

Meeting Adjourned

Departures

AUDIT REPORT WRITING - *A one day course on optimizing the effectiveness of your audit reports*

Danny Goldberg - Sunera

Audit Reports can significantly lose their effect if not written professionally. Writing an audit report is a fine art and, once perfected, can take on more importance than the audit itself. In this one-day seminar, attendees will learn about each component of an audit report in depth and how to set the correct tense and clarity to gain the most effectiveness.

DEMYSTIFYING IT AUDITING - *How to plan and execute meaningful IT audits*

Tom Schauer - Trust CC

Credit unions are facing increasingly hostile security challenges including member account takeovers, distributed denial of service attacks, and even state-sponsored hacking. Credit unions also face increasing regulatory scrutiny of IT controls and risk management practices. At every turn, examiners recommend yet another risk assessment. IT exams can feel like a moving target. More than ever, CUs need to know their risks and manage them intentionally. This one-day seminar will demystify IT audit planning and execution and will equip auditors with skills to oversee an effective IT audit program.

FINANCIAL INSTRUMENT IMPAIRMENT STANDARDS: - *What might FASB do?*

Robin Hoag - Doeren Mayhew

Credit losses continue to be a critical issue for credit unions. Is it time to move from an 'incurred-loss' to a more forward-looking 'expected-loss' model? In this one-day seminar, attendees will learn about the latest credit impairment model research and a new proposed rule that could significantly change loss reserve requirements.

ACUIA's ONE-DAY SEMINAR
JUNE 25, 2013

Acclaimed as one of the best teaching tools in the credit union system, the ACUIA One-Day Seminar is comprised of three, day-long sessions that delve, in-depth into current topics and issues. In each one day seminar you will be able to focus on the topic, participate in the discussion and come away with a new mastery of the subject. It's an outstanding way for both new and seasoned auditors and Supervisory Committee members to learn about the latest auditing issues.

ANNUAL CONFERENCE
JUNE 26-28, 2013

The Annual Conference is the most comprehensive and beneficial professional development opportunity offered by the ACUIA. This year's featured speakers come from a cross section of regulatory and industry disciplines. The cutting edge topics their sessions will cover, are designed to help you keep pace with the profession. So, whether you are a member of your credit union's Internal Audit department or the Supervisory/Audit Committee, there's a wealth of information to keep you at the top of your game.



GENERAL SESSIONS

NCUA Update: What Will the Regulator Do Next?

Debbie Matz - Chairman NCUA

NCUA Chairman Matz will provide an update on a number of crucial issues of interest to credit unions including the stabilization fund, emerging risk issues, capital issues and proposed regulations.

U.S. Central: A Post Mortem

Francois Enriquez - Shutts & Brown

Mr. Henriquez was the last CEO at US Central and had a birds-eye view of the corporate crisis. His views on what went wrong (and right) are imperative to understanding how to avoid future problems.

CFPB Overview

Edwin Chow - Western Regional Director CFPB

The CFPB has had more than its fair share of the political spotlight since inception. We'll hear from one of the key leaders working to shape the direction of the CFPB and gain valuable insight into the inner workings of the agency.

The Washington Update: What Will Happen in 2013?

Dan Berger, CEO, NAFCU

The new NAFCU President/CEO will provide attendees with the inside information on what Washington will do with credit unions during this session of Congress including taxation, member business lending and supplemental capital.

What is Past is Definitely Prologue

Bob Fenner - Former General Counsel, NCUA

As the former General Counsel at NCUA, Mr. Fenner was at the center of every relevant credit union issue and regulation. For over a quarter of a century, he rendered legal opinions that affected credit unions nationwide. He will detail the important issues and their relevance to the audit profession.

The Role of State Chartered Credit Unions

Mary Martha Fortney - President, NASCUS

State chartered credit unions have been the backbone of the credit union movement since their formation. Ms. Fortney will address some of the issues of concern to state chartered credit unions.

Crucial Communications: Tools When the Stakes Are High

Danny Goldberg - Sunera

Auditors who can communicate effectively are exponentially more effective than auditors who cannot. This session will give auditors of all levels an outline of how to optimize their communication skills.

BREAKOUT SESSIONS

There will be a wealth of learning in our breakout sessions for auditors, risk managers and CFOs. In addition, **this year we are continuing our track specifically for Supervisory Committee members** (noted by 'SC' after the session title).

Managing the Internal Audit Function (SC)

Mark Columbo - FMF&E, Sam Capuano - Sunmark FCU

This presentation, perfect for both auditors and SC members, will address challenges and issues with managing the IA function, along with offering practical ideas for effective oversight.

Mobile Banking Risks & Rewards

Tom Schauer-Trusct CC

Mobile banking applications introduce risk. This session will define the risk assessments and controls that should be implemented to satisfy regulatory guidelines.

Vendor Management Best Practices

Doeren Mayhew

Recent vendor breaches have brought to light the risk of outsourcing. Yet the overwhelming trend is to continue outsourcing. The session addresses vendor management principles that universally apply to small and large credit unions.

Indirect Lending/Leasing Risks

Clifton Larson Allen

Credit unions continue to rely on indirect lending and auto leasing as sources of revenue. What are the risks associated with these programs? What do auditors need to look for to mitigate these risks for their credit union?

Common Audit Findings (SC)

Lori Carmichael - OCM

Supervisory committee members can hear from one of the industry experts on the most common audit findings and how to interpret them.

CAE Roundtable

Moderators: Randy Manscill, Randy Partin, Mary Swaney, Sam Capuano

One of the highlights of every conference. Compare notes and swap solutions with your peers. Led by some of the most respected members of ACUIA, this session is always one of the highest-rated.

Auditing ERM

Tony Ferris - Rochdale Group

As one of the hottest subject matters, Enterprise Risk Management is an important component of the 21st century credit union. One of the best outside experts will answer the questions of how to best audit this function.



Participation Loans

Clifton, Larson Allen

NCUA continues to focus on participation loans in terms of risk. If your credit union currently participates in loan pools (or are thinking about doing so), this session will let you know what questions to ask and how to complete an effective due diligence on the pool and the participants.

IA Role in Member Complaints

NCUA Examiner

What is the internal auditors role when a member complains? What is the most effective manner to handle this situation?

Hacked! - What Are the Legal Implications?

Ray Gustini - Nixon Peabody

What happens if your credit union is hacked or one of your vendors is compromised? One of the leading national attorneys will fill you in on the legal ramifications of what a hacking incident can mean to your credit union.

Understanding Financial Statements (SC)

Doug Orth - Orth Chakler Murname

This session will provide information on financial statements, key credit union ratios and key areas where supervisory committees can devote their time from a financial reporting perspective.

Using Excel for Advanced Analytics

Nathan Cunningham - Mountain America CU

Spreadsheets are one of the most powerful and effective tools to data analysis. This session will offer practical guidance and examples on how to maximize this tool for analytics.

Social Media Risks

Rick Woods - SCA

A revealing look at the hazards of social networking. Key topics include: the threats to information security; how ID theft and other frauds are facilitated by social networking; and protecting the credit union and the credit union's data.

SC's Role in Information Security Program (SC)

Randy Romes - Clifton Larsen Allen

Information security is a fundamental process requiring ongoing management to create the proper organizational culture. This session will provide attendees with up-to-the-minute trends and practical advice to improve information security.

ALLL Best Practices

Moss Adams

This session will cover the current status of ALLL best practices. Also covered will be how the internal auditor can be a key resource in managing the credit risk.

Innovation & Strategy-Evaluating the Risks

Alan White - Vital Insight

In recent years, credit union risk management has become a critical element in strategic planning. This session will provide an overview of the essentials of enterprise risk management, and how to strike a balance between fostering innovation and protecting against risks.

Audit Basics - Building an IA Function from the Ground Up

Barry Lucas, John Gallagher, Sam Capuano

The presenters, John Gallagher, Barry Lucas and Sam Capuano together have over 60 years experience in credit union internal auditing. The 3 auditors will present information geared towards those new to internal auditing or new to credit unions.

Employee Dishonesty-Questions the Supervisory Committee Should Ask (SC)

Joette Colletts - CUNA Risk Management

With the responsibility to protect the members and the employees of the credit union by ensuring that proper financial processes and internal controls are followed are you asking the right questions?

- Who could be stealing from your credit union
- What can you do to deter/detect employee dishonesty

Revised Credit Impairment Model & Impact to ALLL

Ryan Sturgis - Moss Adams

Credit unions are continually challenged in areas such as fair value, and allowance methodologies and impairments. This session will examine the revised model proposal, its effect on ALLL and an understanding of what is to come

Innovation In Auditing: Unleash Your Inner DaVinci

Nathan Cunningham, Kara Giano

"Unleash Your Inner Da Vinci" is designed for audit managers to help them think creatively and share ideas about solving age-old audit problems.

IT Audits for Non-IT Auditors

Ross Shaw - E3 Technology

IT can be an elusive, challenging and frustrating area to audit, when one isn't well versed in this area. This session will provide practical information to help IA professionals get comfortable with auditing IT within their credit union.